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EXPRESS MAIL LABEL NO. EL813858845US

PATENT APPLICATION
Docket No. 7678.585

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Bruce S. McLean and Vasiliy Nosov

Serial No. 10/044,346

)
Arb Unit

Confirmation No. 9698

)
280

Filed: January 11, 2002

)
AIA

For: OPTICAL LENS USED TO FOCUS LED LIGHT

)
2002

Examiner: Not Yet Assigned

)
Technology Center 2800

RECEIVED
APR 24 2002
PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

Assistant Commissioner

for Patents

Page 2

A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

X Form PTO-1449 listing twenty-four (24) references submitted for consideration.

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.

C. Fees

The Commissioner is hereby authorized to charge payment or to credit any overpayment thereof to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

Dated this 22nd day of April 2002.

Respectfully submitted,


John M. Guynn
Attorney for Applicants
Registration No. 36,153


JMG:cm

022913

PATENT TRADEMARK OFFICE

APR 22 2002
PATENT TRADEMARK OFFICE
EXPRESS MAIL LABEL NO. EL813858845US

PATENT APPLICATION
Docket No. 7678.585

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
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 Bruce S. McLean and Vasiliy Nosov)
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Serial No. 10/044,346) Art Unit
) 2872
Confirmation No. 9698)
)
Filed: January 11, 2002)
)
For: OPTICAL LENS USED TO FOCUS LED LIGHT)
)
Examiner: Not Yet Assigned)

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box PATENT APPLICATION, Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date of deposit: April 22, 2002.

- Supplemental Information Disclosure Statement
- Form PTO-1449 Listing Twenty-Four (24) References
- Transmittal Letter
- Postcard

Respectfully submitted,



022913

PATENT TRADEMARK OFFICE

John M. Guynn
Attorney for Applicants
Registration No. 36,153

O EXPRESS MAIL LABEL NO. EL813858845US

PATENT APPLICATION
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APR 22 2002

PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Bruce S. McLean and Vasiliy Nosov

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)

Examiner: Not Yet Assigned

)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED
APR 24 2002
TECHNOLOGY CENTER 2800

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of

which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

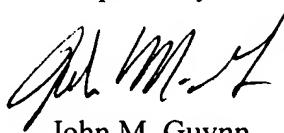
In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

This Information Disclosure Statement is being submitted prior to the mailing of the first Office Action, therefore no fee is due. Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 22nd day of April 2002.

Respectfully submitted,



John M. Guynn
Attorney for Applicants
Registration No. 36,153



022913

JMG:cm

PATENT TRADEMARK OFFICE